

NATIONAL BOARD FOR HIGHER MATHEMATICS

Research Awards Screening Test

February 25, 2006

Time Allowed: 90 Minutes

Maximum Marks: 40

Please read, carefully, the instructions on the following page
before you write anything on this booklet

NAME:	ROLL No.:
Institution	

(For Official Use)

Sec. 1	Sec. 2	Sec. 3	Sec. 4	Sec. 5	TOTAL

INSTRUCTIONS TO CANDIDATES

- Do not forget to write your name and roll number on the cover page. In the box marked 'Institution', fill in the name of the institution where you are working towards a Ph.D. degree. In case you have not yet joined any institution for research, write *Not Applicable*.
- Please ensure that your answer booklet contains 16 numbered (and printed) pages. The back of each printed page is blank and can be used for rough work.
- There are **five** sections, containing **ten** questions each, entitled Algebra, Analysis, Topology, Applied Mathematics and Miscellaneous. Answer as many questions as possible. The assessment of the paper will be based on the best **four** sections. Each question carries one point and the maximum marks to be scored is **forty**.
- Answer each question, as directed, in the space provided at the end of it. Answers are to be given in the form of a word (or words, if required), a numerical value (or values) or a simple mathematical expression. **Do not write sentences.**
- In certain questions you are required to pick out the qualifying statement(s) from multiple choices. None of the statements, or more than one statement may qualify. Write **none** if none of the statements qualify, or list the labels of *all* the qualifying statements (amongst (a), (b), (c) and (d)).
- Points will be awarded in the above questions only if **all** the correct choices are made. There will be no partial credit.
- \mathbb{N} denotes the set of natural numbers, \mathbb{Z} - the integers, \mathbb{Q} - the rationals, \mathbb{R} - the reals and \mathbb{C} - the field of complex numbers. \mathbb{R}^n denotes the n -dimensional Euclidean space, which is assumed to be endowed with its 'usual' topology. The symbol $]a, b[$ will stand for the open interval $\{x \in \mathbb{R} \mid a < x < b\}$ while $[a, b]$ will stand for the corresponding closed interval; $[a, b[$ and $]a, b]$ will stand for the corresponding left-closed-right-open and left-open-right-closed intervals respectively. The symbol I will denote the identity matrix of appropriate order.

Section 1: Algebra

1.1 Let $f : (\mathbb{Q}, +) \rightarrow (\mathbb{Q}, +)$ be a non-zero homomorphism. Pick out the true statements:

- a. f is always one-one.
- b. f is always onto.
- c. f is always a bijection.
- d. f need be neither one-one nor onto.

Answer:

1.2 Consider the element

$$\alpha = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 1 & 4 & 5 & 3 \end{pmatrix}$$

of the symmetric group S_5 on five elements. Pick out the true statements:

- a. The order of α is 5.
- b. α is conjugate to

$$\begin{pmatrix} 4 & 5 & 2 & 3 & 1 \\ 5 & 4 & 3 & 1 & 2 \end{pmatrix}.$$

- c. α is the product of two cycles.
- d. α commutes with all elements of S_5 .

Answer:

1.3 Let G be a group of order 60. Pick out the true statements:

- a. G is abelian.
- b. G has a subgroup of order 30.
- c. G has subgroups of order 2, 3 and 5.
- d. G has subgroups of order 6, 10 and 15.

Answer:

1.4 Consider the polynomial ring $R[x]$ where $R = \mathbb{Z}/12\mathbb{Z}$ and write the elements of R as $\{0, 1, \dots, 11\}$. Write down all the distinct roots of the polynomial $f(x) = x^2 + 7x$ of $R[x]$.

Answer:

1.5 Let R be the polynomial ring $\mathbb{Z}_2[x]$ and write the elements of \mathbb{Z}_2 as $\{0, 1\}$. Let $(f(x))$ denote the ideal generated by the element $f(x) \in R$. If $f(x) = x^2 + x + 1$, then the quotient ring $R/(f(x))$ is

- a. a ring but not an integral domain.
- b. an integral domain but not a field.
- c. a finite field of order 4.
- d. an infinite field.

Answer:

1.6 Consider the set of all linear transformations $T : \mathbb{R}^3 \rightarrow \mathbb{R}^4$ over \mathbb{R} . What is the dimension of this set, considered as a vector space over \mathbb{R} with point-wise operations?

Answer:

1.7 Consider the matrix $A = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 2 & 3 \\ 0 & 0 & 3 \end{bmatrix}$. Write down a matrix P such that $P^{-1}AP$ is a diagonal matrix.

Answer : $P =$

1.8 Let A be an orthogonal 3×3 matrix with real entries. Pick out the true statements:

- a. The determinant of A is a rational number.
- b. $d(Ax, Ay) = d(x, y)$ for any two vectors x and $y \in \mathbb{R}^3$, where $d(u, v)$ denotes the usual Euclidean distance between vectors u and $v \in \mathbb{R}^3$.
- c. All the entries of A are positive.
- d. All the eigenvalues of A are real.

Answer:

1.9 Pick out the correct statements from the following list:

- a. A homomorphic image of a UFD (unique factorization domain) is again a UFD.
- b. The element $2 \in \mathbb{Z}[\sqrt{-5}]$ is irreducible in $\mathbb{Z}[\sqrt{-5}]$.
- c. Units of the ring $\mathbb{Z}[\sqrt{-5}]$ are the units of \mathbb{Z} .
- d. The element 2 is a prime element in $\mathbb{Z}[\sqrt{-5}]$.

Answer:

1.10 Let p and q be two distinct primes. Pick the correct statements from the following:

- a. $\mathbb{Q}(\sqrt{p})$ is isomorphic to $\mathbb{Q}(\sqrt{q})$ as fields.
- b. $\mathbb{Q}(\sqrt{p})$ is isomorphic to $\mathbb{Q}(\sqrt{-q})$ as vector spaces over \mathbb{Q} .
- c. $[\mathbb{Q}(\sqrt{p}, \sqrt{q}) : \mathbb{Q}] = 4$.
- d. $\mathbb{Q}(\sqrt{p}, \sqrt{q}) = \mathbb{Q}(\sqrt{p} + \sqrt{q})$.

Answer:

Section 2: Analysis

2.1 Let f be a real valued function on \mathbb{R} . Consider the functions

$$w_j(x) = \sup\{|f(u) - f(v)| : u, v \in [x - \frac{1}{j}, x + \frac{1}{j}]\},$$

where j is a positive integer and $x \in \mathbb{R}$. Define next,

$$A_{j,n} = \{x \in \mathbb{R} : w_j(x) < \frac{1}{n}\}, n = 1, 2, \dots$$

and

$$A_n = \cup_{j=1}^{\infty} A_{j,n}, n = 1, 2, \dots$$

Now let

$$C = \{x \in \mathbb{R} : f \text{ is continuous at } x\}.$$

Express C in terms of the sets A_n .

Answer:

2.2 Let f be a continuous real valued function on \mathbb{R} and n , a positive integer. Find

$$\frac{d}{dx} \int_0^x (2x - t)^n f(t) dt.$$

Answer:

2.3 For each $n \geq 1$, let f_n be a monotonic increasing real valued function on $[0, 1]$ such that the sequence of functions $\{f_n\}$ converges pointwise to the function $f \equiv 0$. Pick out the true statements from the following:

- f_n converges to f uniformly.
- If the functions f_n are also non-negative, then f_n must be continuous for sufficiently large n .

Answer:

2.4 Let \mathbb{Q} denote the set of all rational numbers in the open interval $]0, 1[$. Let $\lambda(U)$ denote the Lebesgue measure of a subset U of $]0, 1[$. Pick out the correct statements from the following:

- a. $\lambda(U) = 1$ for every open set $U \subset]0, 1[$ which contains \mathbb{Q} .
- b. Given any $\varepsilon > 0$, there exists an open set $U \subset]0, 1[$ containing \mathbb{Q} such that $\lambda(U) < \varepsilon$.

Answer:

2.5 A real valued function on an interval $[a, b]$ is said to be a function of bounded variation if there exists $M > 0$, such that for any finite set of points $a = a_0 < a_1 < a_2 < \dots < a_n = b$, we have $\sum_{i=0}^{n-1} |f(a_i) - f(a_{i+1})| < M$. Which of the following statements are necessarily true ?

- a. Any continuous function on $[0, 1]$ is of bounded variation.
- b. If $f : \mathbb{R} \rightarrow \mathbb{R}$ is continuously differentiable, then its restriction to the interval $[-n, n]$ is of bounded variation on that interval, for any positive integer n .
- c. Any monotone function on $[0, 1]$ is of bounded variation.

Answer:

2.6 Let f be a differentiable function of one variable and let g be the function of two variables given by $g(x, y) = f(ax + by)$, where a, b are fixed nonzero numbers. Write down a partial differential equation satisfied by the function g .

Answer:

2.7 The curve $x^3 - y^3 = 1$ is asymptotic to the line $x = y$. Find the point on the curve farthest from the line $x = y$.

Answer:

2.8 Let k be a fixed positive integer. Find R_k , the radius of convergence of the power series $\sum \left(\frac{n+1}{n}\right)^{n^2} z^{kn}$.

Answer:

2.9 let γ be a closed and continuously differentiable path in the upper half plane

$$\{z \in \mathbb{C} : z = x + iy, x, y \in \mathbb{R}, y > 0\}$$

not passing through the point i . Describe the set of all possible values of the integral

$$\frac{1}{2\pi i} \int_{\gamma} \frac{2i}{z^2 + 1} dz.$$

Answer:

2.10 Let f be a function of three (real) variables having continuous partial derivatives. For each direction vector $h = (h_1, h_2, h_3)$ such that $h_1^2 + h_2^2 + h_3^2 = 1$, let $D_h f(x, y, z)$ be the directional derivative of f along h at (x, y, z) . For a point (x_0, y_0, z_0) where the partial derivative $\frac{\partial}{\partial x} f(x_0, y_0, z_0)$ is not zero, maximize $D_h f(x_0, y_0, z_0)$ (as a function of h).

Answer: The maximum value =

Section 3: Topology

3.1 Let f be the function on \mathbb{R} defined by $f(t) = \frac{p+\sqrt{2}}{q+\sqrt{2}} - \frac{p}{q}$ if $t = \frac{p}{q}$ with $p, q \in \mathbb{Z}$ and p and q coprime to each other, and $f(t) = 0$ if t is irrational. Answer the following: i) At which irrational numbers t is f continuous? ii) At which rational numbers t is f continuous?

Answer: i) The set of irrational t where f is continuous:

ii) The set of rational t where f is continuous:

3.2 Let f and g be two continuous functions on \mathbb{R} . For any $a \in \mathbb{R}$ we define $J_a(f, g)$ to be the function given by $J_a(f, g)(t) = f(t)$ for all $t \leq a$ and $J_a(f, g)(t) = g(t)$ if $t > a$. For what values of a is $J_a(f, g)$ a continuous function?

Answer: $J_a(f, g)$ is continuous if and only if

3.3 Let A and B be two finite subsets of \mathbb{R} . Describe a necessary and sufficient condition for the spaces $\mathbb{R} \setminus A$ and $\mathbb{R} \setminus B$ to be homeomorphic.

Answer: $\mathbb{R} \setminus A$ and $\mathbb{R} \setminus B$ are homeomorphic if and only if

3.4 Let $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ be a continuous function. Let D be the closed unit disc in \mathbb{R}^2 . Is $f(D)$ necessarily an interval in \mathbb{R} ? If it is an interval, which of the forms $]a, b[$, $[a, b[$, $]a, b]$ and $[a, b]$, with $a, b \in \mathbb{R}$ can it have?

Answer: i) $f(D)$ is necessarily an interval in \mathbb{R} /may not be an interval;
ii) Possible form(s) for the interval:

3.5 For $v \in \mathbb{R}^2$ and $r > 0$ let $D(v, r)$ denote the closed disc with centre at v and radius r . Let $v = (5, 0) \in \mathbb{R}^2$. For $\alpha > 0$ let X_α be the subset

$$X_\alpha = D(-v, 3) \cup D(v, 3) \cup \{(x, \alpha x) : x \in \mathbb{R}\}.$$

Determine the condition on α for X_α to be connected; when it is not connected how many connected components does X_α have?

Answer: i) X_α is connected if and only if
ii) When not connected it has connected components.

3.6 Which two of the following spaces are homeomorphic to each other?

- i) $X_1 = \{(x, y) \in \mathbb{R}^2 : xy = 0\}$;
- ii) $X_2 = \{(x, y) \in \mathbb{R}^2 : x + y \geq 0 \text{ and } xy = 0\}$;
- iii) $X_3 = \{(x, y) \in \mathbb{R}^2 : xy = 1\}$;
- iv) $X_4 = \{(x, y) \in \mathbb{R}^2 : x + y \geq 0, \text{ and } xy = 1\}$.

Answer The sets and are homeomorphic.

3.7 Which of the following spaces are compact?

- i) $X_1 = \{(x, y) \in \mathbb{R}^2 : |x| + |y| < 10^{-100}\}$;
- ii) $X_2 = \{(x, y) \in \mathbb{R}^2 : |x| + |y| \leq 10^{100}\}$;
- iii) $X_3 = \{(x, y) \in \mathbb{R}^2 : 1 \leq x^2 + y^2 \leq 2\}$;
- iv) $X_4 = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = 1 \text{ and } xy \neq 0\}$.

Answer: Compact subsets from the above are

3.8 Which of the following spaces are locally compact?

- i) $X_1 = \{(x, y) \in \mathbb{R}^2 : x, y \text{ odd integers}\}$;
- ii) $X_2 = \{(x, y) \in \mathbb{R}^2 : x^2 + 103xy + 7y^2 > 5\}$;
- iii) $X_3 = \{(x, y) \in \mathbb{R}^2 : 0 \leq x < 1, 0 < y \leq 1\}$;
- iv) $X_4 = \{(x, y) \in \mathbb{R}^2 : x, y \text{ irrational}\}$.

Answer: Locally compact spaces from the above are

- 3.9** Which of the following metric spaces (X_i, d_i) , $1 \leq i \leq 4$, are complete?
- i) $X_1 =]0, \pi/2[\subset \mathbb{R}$, d_1 defined by $d_1(x, y) = |\tan x - \tan y|$ for all $x, y \in X_1$.
 - ii) $X_2 = [0, 1] \subset \mathbb{R}$, d_2 defined by $d_2(x, y) = \frac{|x-y|}{1+|x-y|}$ for all $x, y \in X_2$.
 - iii) $X_3 = \mathbb{Q}$, and d_3 defined by $d_3(x, y) = 1$ for all $x, y \in X_3$, $x \neq y$.
 - iv) $X_4 = \mathbb{R}$, d_4 defined by $d_4(x, y) = |e^x - e^y|$ for all $x, y \in X_4$.

Answer: Complete metric spaces from the above are

3.10 On which of the following spaces is every continuous (real-valued) function bounded?

- i) $X_1 =]0, 1[$;
- ii) $X_2 = [0, 1]$;
- iii) $X_3 = [0, 1[$;
- iv) $X_4 = \{t \in [0, 1] : t \text{ irrational}\}$.

Answer: Every continuous function on
is bounded (enter all X_i with i between 1 and 4 for which the statement holds).

Section 4: Applied Mathematics

4.1 Let $\Gamma(s)$ stand for the usual Gamma function. Given that $\Gamma(1/2) = \sqrt{\pi}$, evaluate $\Gamma(5/2)$.

Answer:

4.2 Let

$$S = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 + z^2 = 1, z > 0\}.$$

Let

$$C = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = 1\}.$$

Let τ be the unit tangent vector to C in the xy -plane pointing left as we move clockwise along C . Let $\varphi(x, y, z) = x^2 + y^3 + z^4$. Evaluate:

$$\int_C \nabla \varphi \cdot \tau \, ds.$$

Answer:

4.3 Let $a > 0$ and let

$$S = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 + z^2 = a^2\}.$$

Evaluate:

$$\int \int_S (x^4 + y^4 + z^4) \, dS.$$

Answer:

4.4 Let $f(x) = x^2 - 5$ for $x \in \mathbb{R}$. Let $x_0 = 1$. If $\{x_n\}$ denotes the sequence of iterates defined by the Newton-Raphson method to approximate a solution of $f(x) = 0$, find x_1 .

Answer:

4.5 Let A be a 2×2 matrix with real entries. Consider the linear system of ordinary differential equations given in vector notation as:

$$\frac{d\mathbf{x}}{dt}(t) = A\mathbf{x}(t)$$

where

$$\mathbf{x}(t) = \begin{pmatrix} u(t) \\ v(t) \end{pmatrix}.$$

Pick out the cases from the following when we have $\lim_{t \rightarrow \infty} u(t) = 0$ and $\lim_{t \rightarrow \infty} v(t) = 0$:

a.

$$A = \begin{pmatrix} 1 & 2 \\ 0 & 3 \end{pmatrix}.$$

b.

$$A = \begin{pmatrix} -1 & 2 \\ 0 & -3 \end{pmatrix}.$$

c.

$$A = \begin{pmatrix} 1 & -6 \\ 1 & -4 \end{pmatrix}.$$

d.

$$A = \begin{pmatrix} -1 & -6 \\ 1 & 4 \end{pmatrix}.$$

Answer:

4.6 Let $\Delta = \partial^2/\partial x^2 + \partial^2/\partial y^2$ denote the Laplace operator. Let

$$\Omega = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 < 1\}.$$

Let $\partial\Omega$ denote the boundary of the domain Ω . Consider the following boundary value problem:

$$\begin{aligned} \Delta u &= c \text{ in } \Omega \\ \frac{\partial u}{\partial \nu} &= 1 \text{ on } \partial\Omega \end{aligned}$$

where c is a real constant and $\partial u/\partial \nu$ denotes the outward normal derivative of u on $\partial\Omega$. For what values of c does the above problem admit a solution?

Answer:

4.7 Consider the Tricomi equation:

$$\frac{\partial^2 u}{\partial y^2} - y \frac{\partial^2 u}{\partial x^2} = 0.$$

Describe the region in the xy -plane where this equation is elliptic.

Answer:

4.8 Evaluate:

$$\int \int_{\mathbb{R}^2} e^{-(3x+2y)^2 - (4x+y)^2} dx dy.$$

Answer:

4.9 Let J_p denote the Bessel function of the first kind, of order p and let $\{P_n\}$ denote the sequence of Legendre polynomials defined on the interval $[-1, 1]$. Pick out the true statements from the following:

- $\frac{d}{dx} J_0(x) = -J_1(x)$.
- Between any two positive zeroes of J_0 , there exists a zero of J_1 .
- $P_{n+1}(x)$ can be written as a linear combination of $P_n(x)$ and $P_{n-1}(x)$.
- $P_{n+1}(x)$ can be written as a linear combination of $xP_n(x)$ and $P_{n-1}(x)$.

Answer:

4.10 Consider the linear programming problem: Maximize $z = 2x_1 + 3x_2 + x_3$ such that

$$\begin{aligned} 4x_1 + 3x_2 + x_3 &= 6 \\ x_1 + 2x_2 + 5x_3 &\geq 4 \\ x_1, x_2, x_3 &\geq 0. \end{aligned}$$

Write down the objective function of the dual problem.

Answer:

Section 5: Miscellaneous

5.1 A unimodular matrix is a matrix with integer entries and having determinant 1 or -1. If m and n are positive integers, write down a necessary and sufficient condition such that there exists a unimodular matrix of order 2 whose first row is the vector (m, n) .

Answer:

5.2 For any integer n define $k(n) = \frac{n^7}{7} + \frac{n^3}{3} + \frac{11n}{21} + 1$ and

$$f(n) = \begin{cases} 0 & \text{if } k(n) \text{ an integer,} \\ \frac{1}{n^2} & \text{if } k(n) \text{ is not an integer.} \end{cases}$$

Find $\sum_{n=-\infty}^{\infty} f(n)$.

Answer:

5.3 Let $n \geq 2$. Evaluate:

$$\sum_{k=2}^n \frac{n!}{(n-k)!(k-2)!}$$

Answer:

5.4 A fair coin is tossed ten times. What is the probability that we can observe a string of eight heads, in succession, at some time?

Answer:

5.5 Evaluate the product $\prod_{n=2}^{\infty} \left(1 + \frac{1}{n^2} + \frac{1}{n^4} + \frac{1}{n^6} + \dots \right)$.

Answer:

5.6 Find all solutions of the equation

$$(x^2 + y^2 + z^2 - 1)^2 + (x + y + z - 3)^2 = 0.$$

Answer:

5.7 For any real number x , let $f(x)$ denote the distance of x from the nearest integer. Let $I(k) = [k\pi, k\pi + 1]$. Find $f(I(k))$ for all integers k .

Answer:

5.8 Let K be a finite field. Can you always find a non-constant polynomial over K which has no root in K ? If yes, give one such polynomial.

Answer: No, there is no such polynomial/ Yes, and one such polynomial is given by:

5.9 Evaluate:

$$\sum_{k=1}^{\infty} \frac{k^2}{k!}.$$

Answer:

5.10 Pick out the countable sets from the following:

- $\{\alpha \in \mathbb{R} : \alpha \text{ is a root of a polynomial with integer coefficients}\}$.
- The complement in \mathbb{R} of the set described in statement (a) above.
- The set of all points in the plane whose coordinates are rational.
- Any subset of \mathbb{R} whose Lebesgue measure is zero.

Answer:

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KEY

Section 1: Algebra

- 1.1 a, b, c
1.2 b, c
1.3 c
1.4 0,5,8,9
1.5 c
1.6 12
1.7 Any matrix of the form: (a, b and c all non-zero)

$$\begin{bmatrix} a & b & c/2 \\ 0 & b & c \\ 0 & 0 & c/3 \end{bmatrix}$$

- 1.8 a, b
1.9 b, c
1.10 b, c, d

Section 2: Analysis

- 2.1 $C = \bigcap_{n=1}^{\infty} A_n$
2.2 $2n \int_0^x (2x-t)^{n-1} f(t) dt + x^n f(x)$
2.3 a
2.4 b
2.5 b, c
2.6 $b \frac{\partial g}{\partial x} = a \frac{\partial g}{\partial y}$.
2.7 $(\frac{1}{2^{\frac{1}{3}}}, -\frac{1}{2^{\frac{1}{3}}})$.
2.8 $e^{-\frac{1}{k}}$.
2.9 All integers

2.10 $\left[\left(\frac{\partial f}{\partial x}(x_0, y_0, z_0) \right)^2 + \left(\frac{\partial f}{\partial y}(x_0, y_0, z_0) \right)^2 + \left(\frac{\partial f}{\partial z}(x_0, y_0, z_0) \right)^2 \right]^{\frac{1}{2}}$

Section 3: Topology

- 3.1 (i) continuous at all irrationals, (ii) continuous only at $t = 1$
- 3.2 $f(a) = g(a)$
- 3.3 A and B have the same cardinality
- 3.4 (i) $f(D)$ is necessarily an interval; (ii) $[a, b]$
- 3.5 (i) X_α is connected if and only if $\alpha \leq \frac{3}{4}$. (ii) When not connected, it has 3 components
- 3.6 X_2 and X_4 are homeomorphic
- 3.7 Compact sets are X_2 and X_3
- 3.8 Locally compact sets are X_1, X_2 and X_3
- 3.9 Complete metric spaces are X_1, X_2 and X_3
- 3.10 X_2

Section 4: Applied Mathematics

- 4.1 $\frac{3}{4}\sqrt{\pi}$
- 4.2 0
- 4.3 $\frac{12\pi}{5}a^6$
- 4.4 3
- 4.5 b, c
- 4.6 $c = 2$
- 4.7 elliptic in the region $\{(x, y) \in \mathbb{R}^2 : y < 0\}$
- 4.8 $\pi/5$
- 4.9 a, b, d
- 4.10 A linear functional in 3 variables with coefficients 6, -6 and -4; example: $6w_1 - 6w_2 - 4w_3$

Section 5: Miscellaneous

- 5.1 m and n are coprime
- 5.2 0
- 5.3 $n(n-1)2^{n-2}$
- 5.4 2^{-7}
- 5.5 2
- 5.6 there is no solution
- 5.7 $[0, 1/2]$ for each k
- 5.8 Yes; if $K = \{a_1, \dots, a_n\}$, then take $(x - a_1) \dots (x - a_n) + 1$, for example.
- 5.9 $2e$
- 5.10 a, c